



09-18-01

1632

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98,385-J)

PATENT

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In the Application of:

Hauptmann, et al.

Before the Examiner:

Serial No. 09/899,429

Group Art Unit: 1632

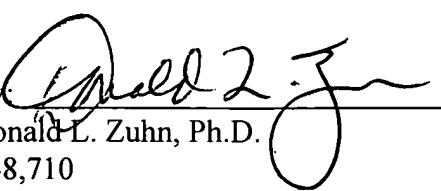
Filed: July 3, 2001

For: TNF Receptors, TNF Binding Proteins  
and DNAs Coding for ThemCommissioner for Patents  
Washington, D.C. 20231TRANSMITTAL LETTER

In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - a. Notice to Comply with Requirements for Sequence Listing
  - b. Computer and Paper Copy of Sequence Listing
  - c. Postcard
2. With respect to additional fees:  
☒ A. No additional fee is required.  
☐ B. Attached is a check in the amount of \$00.00
3. Please charge any additional fees or credit over-payments to the Deposit Account No.13-2490.
4. ☒ CERTIFICATE UNDER 37. CFR 1.10 (EXPRESS MAIL): The undersigned hereby certifies that this Transmittal Letter and this paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service, as Express Mail No. **EL921553636US**, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 17th day of September 2001.

Dated: September 17, 2001

By:   
Donald L. Zuhn, Ph.D.  
P48,710

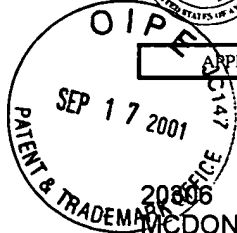
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,429	07/03/2001	Rudolf Hauptmann	98,385-J

20806  
MCDONNELL BOEHNEN HULBERT & BERGHOF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606

CONFIRMATION NO. 7549

FORMALITIES LETTER



Date Mailed: 08/08/2001

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).


- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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